

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Shawn DeFrees and Caryn Bowe

Application No./Patent No.: 10/586,166

Filed/Issue Date: July 14, 2006

Corresponding to PCT Application No. PCT/US05/02522 filed on January 26, 2005

Entitled: BRANCHED POLYMERIC SUGARS AND NUCLEOTIDES THEREOF

Neose Technologies, Inc., a Delaware corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventors of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

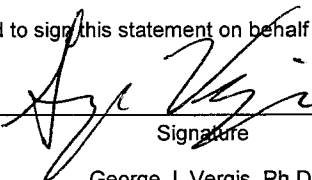
☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

June 25, 2008

Date


Signature

George J. Vergis, Ph.D.

Typed or printed name

President and Chief Executive Officer

Title


Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JOINT

1-SF/7714593.1

IN TESTIMONY WHEREOF, Assignors have signed his/her names on the dates indicated.

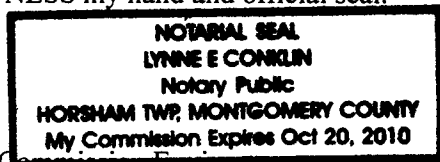
Dated: 6/13/2008


SHAWN DEFREES

[illegible]

On 6/13/08, before me, Lynne E. Conklin personally appeared Shawn DeFrees, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



My Commission Expires:

Lynne E. Conklin
NOTARY PUBLIC

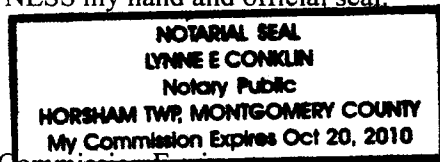
Dated: 6/13/08

Caryn Jay Bowe
CARYN BOWE

STATE OF PENNSYLVANIA)
)
) SS.
COUNTY OF *Montgomery*)

On 6/13/08, before me, LYNNE E. CONKLIN personally appeared Caryn Bowe, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



My Commission Expires.

Lyne E. Conklin
NOTARY PUBLIC